OMB No. 0648-0205 Form Approval Expires: 10/31/2014

U.S. Department of Commerce, NOAA
NMFS PERMITS OFFICE, F/SER14
263 13th Avenue South
St. Petersburg, FL 33701
Toll Free 877-376-4877 (8:00 a.m. - 4:30 p.m. ET)
727-824-5326 (8:00 a.m. - 4:30 p.m. ET)
permits.sero.nmfs.noaa.gov

FEDERAL PERMIT APPLICATION FOR VESSELS FISHING IN THE EXCLUSIVE ECONOMIC ZONE (EEZ)

FOR OFFICE USE ONLY

Application ID

FOR OFFICE USE ONLY

Reviewer's Initials and Date	
Permit Check or Money Order Number and Amount	
Floy Tag Check or Money Order Number and Amount	
Sanctioned Case Number if Sanctioned	
Non Compliance Hold Date	
Non Compliance Cleared Date	
Expiration Date(s)	

REMEMBER TO SEND A COPY of the current (not expired) United States Coast Guard (USCG) Certificate of Documentation or a copy of the State Vessel Registration. Do not send the original. If the vessel's state registration does not list all owners, also provide a copy of the vessel's title, or other documentation from the appropriate state agency, that identifies all vessel owners.

SECTION 1 - VESSEL INFORMATION

Official Number From USCG Certificate Of Documentation (If the vessel is documented)

Year Built | **Length (ft)** | **Total Horsepower**

State Registration Number (as applicable)

Crew Size—Including the Captain

Vessel Name

HOLD or FISH BOX CAPACITY
How many pounds of product can you bring to the dock when full?

Hull Identification or IMO Number

Hull Material

- FIBERGLASS
- STEEL
- WOOD
- CEMENT
- OTHER (DESCRIBE)

Fuel Data

- DIESEL
- GASOLINE
- OTHER (DESCRIBE)

Fuel Capacity - Total Gallons

Product Storage (check all that apply)

- ON ICE IN HOLD, FISH BOX, ICE CHEST, COOLER, ETC
- FREEZER
- LIVE WELL

Hailing Port City

Hailing Port County Or Parish | **Hailing Port State**

USCG DOCUMENTED VESSELS ONLY

Gross Tons | **Net Tons**

Passenger Capacity Data For Charter Vessels/Headboats Only

- UNISPECTED VESSEL - "6-PACK"
- USCG INSPECTED VESSEL: Specify Passenger Capacity as listed on the USCG Certificate of Inspection, not including Capt. and Crew.

This vessel is used MOSTLY for (select only one)

- Commercial Fishing
- Charter
- Headboat

For Shark and Swordfish Directed and Incidental Permit Applicants Only: Does your vessel fish with, or carry onboard, either longline or gillnet gear?

Yes | No

Reminder: If yes, include a copy of your "Protected Species Release, Disentanglement, and Identification Workshop Certificate".

Form Revision 08/29/2013

SECTION 2 - OPEN ACCESS PERMITS AND ENDORSEMENTS

Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the *U.S. Treasury*. The fee is $25.00 for the first permit and $10.00 for each additional permit or endorsement requested on this application.

FEE SCHEDULE FOR PERMITS AND ENDORSEMENTS:

1 Permit: $25 2: $35 3: $45 4: $55 5: $65 6: $75 7: $85 8: $95 9: $105 10: $115 11: $125 12: $135

INSTRUCTIONS: Find the permits in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

OPEN ACCESS COMMERCIAL PERMITS	NEW		RENEW	
ATLANTIC DOLPHIN/WAHOO (ADW)				
SPINY LOBSTER (LC) (Not required for the EEZ off Florida)				
SPINY LOBSTER TAILING (LT) You must have an LC permit OR provide your FL SPL information below.				
SPANISH MACKEREL (SM)				
ROCK SHRIMP - CAROLINAS ZONE				
SOUTH ATLANTIC PENAEID SHRIMP (SPA)				
GULF ROYAL RED SHRIMP ENDORSEMENT (GRRS) You must have a valid Gulf of Mexico Shrimp permit				
HMS COMMERCIAL CARIBBEAN SMALL BOAT PERMIT (CCSB)				

FOR LOBSTER TAILING PERMIT APPLICANTS ONLY

LOBSTER TAILING APPLICANTS: To obtain a lobster tailing permit you must possess a Florida Saltwater Products License (SPL) with Restricted Species and Crawfish endorsements. If you do not have a Florida SPL with Restricted Species and Crawfish Endorsements, you must possess or simultaneously obtain a valid Federal Spiny Lobster (LC) permit.

You must provide a copy of your Florida SPL if you do not have a Federal Spiny Lobster (LC) permit

Saltwater Products License Number _____ Crawfish Endorsement Number _____

Saltwater Products License Expiration Date _____

OPEN ACCESS CHARTER/HEADBOAT PERMITS	NEW		RENEW	
ATLANTIC CHARTER/HEADBOAT FOR DOLPHIN/WAHOO (CDW)				
ATLANTIC CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGICS (CHS)				
SOUTH ATLANTIC CHARTER/HEADBOAT FOR SNAPPER-GROUPER (SC)				

SECTION 3 - LIMITED ACCESS/MORATORIUM PERMITS AND ENDORSEMENTS

Payment Reminder: All applications must include payment of a non-refundable application fee in the form of a check or money order made payable to the *U.S. Treasury*. Please refer to the fee schedule in section 2 of the application.

INSTRUCTIONS: Find the permits in the left column and mark the check box beside that fishery to indicate what transaction(s) you want.

LIMITED ACCESS COMMERCIAL PERMITS	PERMIT NUMBER	TRANSFER		RENEW		
KING MACKEREL (KM)						
GILLNET FOR KING MACKEREL (GN)						
GULF OF MEXICO SHRIMP (SPGM)						
GULF OF MEXICO COMMERCIAL REEF FISH (RR)						
EASTERN GULF OF MEXICO REEF FISH BOTTOM LONG LINE ENDORSEMENT (RRLE)						
ROCK SHRIMP (SOUTH ATLANTIC EEZ) (RSLA)						
SOUTH ATLANTIC GOLDEN CRAB (GC)						
SOUTH ATLANTIC UNLIMITED SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG1)						
SOUTH ATLANTIC 225 LB TRIP LIMIT SNAPPER-GROUPER (EXCLUDING WRECKFISH) (SG2)						
SOUTH ATLANTIC SEA BASS POT ENDORSEMENT (SBPE)						
SOUTH ATLANTIC GOLDEN TILEFISH ENDORSEMENT (GTFE)						
SWORDFISH DIRECTED (SFD)						
SWORDFISH HANDGEAR (SFH)						
SWORDFISH INCIDENTAL (SFI)						
SHARK DIRECTED (SKD)						
SHARK INCIDENTAL (SKI)						
ATLANTIC TUNA LONGLINE (ATL) Must have either SFI or SKI and either SFD or SKD						

LIMITED ACCESS CHARTER/HEADBOAT PERMITS	PERMIT NUMBER	TRANSFER		RENEW		
GULF CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (CHG)						
GULF CHARTER/HEADBOAT FOR REEF FISH (RCG)						
HISTORICAL CAPTAIN GULF CHARTER/HEADBOAT FOR COASTAL MIGRATORY PELAGIC FISH (HCGG)						
HISTORICAL CAPTAIN GULF CHARTER/HEADBOAT FOR REEF FISH (HRCG)						

SECTION 4 - INDIVIDUAL VESSEL OWNER(S) AND LESSEE INFORMATION

Answer all of the following questions to see how to fill out this section. Copy this page as needed to provide the required information on all persons that own or lease the vessel.

Does your USCG Documentation or State Registration show the vessel owner as a person or persons?	*YES* - Use this page for the vessel owners	*NO* - Fill out vessel owner info in Section 5	
Does your USCG Documentation or State Registration show more than one person as the vessel owner?	*YES* - Use Section 4b for the vessel owners	*NO* - Fill out Section 4b if vessel is leased	
Is a person or persons leasing this vessel from the vessel owner?	*YES* - Use Section 4b for the lessee	*NO - The lessee is a business* Put lessee info in Section 5b	*NO* - Skip Section 4b

SECTION 4a - Vessel Owner on the USCG Certificate of Documentation or State Registration for Undocumented Vessels

1) If the USCG Documentation or State Registration shows one person as sole vessel owner - list their information here.

2) If the USCG Documentation or State Registration shows more than one person as vessel owner - list their information in Section 4b.

3) If there are more than two persons, photocopy this blank page as necessary to provide information for all the owners.

☐ **MAILING RECIPIENT - All mail about this permit will go to the person listed in Section 4a**

Is this person a United States Citizen or permanent resident alien? ☐ **YES** ☐ **NO**

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - Jr, Sr, etc.

Tax Identification Number (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt #	City	State	County/Parish	Zip Code	Country

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable)	Apt #	City	State	County/Parish	Zip Code	Country

SECTION 4b - Vessel Lessee OR Vessel Owner on the USCG Certificate of Documentation or State Registration

1) If the USCG Documentation or State Registration shows more than one person as sole vessel owner - list their information here.

2) If this vessel is leased by a person(s), list the lessee's information here.

3) If there are more than two people, photocopy this blank page as necessary to provide information for all the owners and lessee's.

Lease start date: ☐ **Lease end date:** ☐

☐ **MAILING RECIPIENT - All mail about this permit will go to the person listed in Section 4a**

Is this person a United States Citizen or permanent resident alien? ☐ **YES** ☐ **NO**

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - Jr, Sr, etc.

Tax Identification Number (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt #	City	State	County/Parish	Zip Code	Country

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable)	Apt #	City	State	County/Parish	Zip Code	Country

SECTION 5 - BUSINESS VESSEL OWNER(S) AND LESSEE INFORMATION

Answer all of the following questions to see how to fill out this section. Copy this page as needed to provide the required information on all persons that own or lease the vessel.

Does your USCG Documentation or State Registration show the vessel owner as a business?	*YES* - Use this page for the vessel owners	*NO* - Fill out previous page for vessel owners	
Does your USCG Documentation or State Registration show more than one business as the vessel owner?	*YES* - Use Section 5b for the vessel owners	*NO* - Fill out Section 5b if vessel is leased	
Is a business or businesses leasing this vessel from the vessel owner?	*YES* - Use Section 5b for the lessee	*NO* - *The lessee is a person* Put lessee info in Section 4b	*NO* - Skip Section 5b

SECTION 5a - Vessel Owner on the USCG Certificate of Documentation or State Registration for Undocumented Vessels

1) If the USCG Documentation or State Registration shows one business as sole vessel owner - list their information in Section 5a.

2) If the USCG Documentation or State Registration shows multiple businesses as vessel owner - list their information in Section 5b.

3) If there are more than two businesses, photocopy this blank page as necessary to provide information for all the owners.

☐ **MAILING RECIPIENT - All mail about this permit will go to the person listed in Section 5a**

Is this business entity established under the laws of the United States or any State of the United States? ☐ **YES** ☐ **NO**

Registered Name of Business

Tax Identification Number (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable) | **Apt #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

SECTION 5b - Vessel Lessee OR Vessel Owner on the USCG Certificate of Documentation or State Registration

1) If the USCG Documentation or State Registration shows more than one business as sole vessel owner - list their information here.

2) If this vessel is leased by a business(es), list the lessee's information here.

3) If there are more than two businesses, photocopy this blank page as necessary to provide information for all owners and lessee's.

Lease start date: ☐ **Lease end date:** ☐

☐ **MAILING RECIPIENT - All mail about this permit will go to the person listed in Section 5b**

Is this business entity established under the laws of the United States or any State of the United States? ☐ **YES** ☐ **NO**

Registered Name of Business

Tax Identification Number (FEIN) | **Date Business Formed (MM/DD/YYYY)** | **Area Code** | **Phone Number**

Mailing Address | **Apt #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable) | **Apt #** | **City** | **State** | **County/Parish** | **Zip Code** | **Country**

SECTION 6 - OFFICER/SHAREHOLDER INFORMATION FOR BUSINESS(ES) THAT OWN OR LEASE THE VESSEL

This page must be filled out if the owner or the lessee of the vessel is a business. Copy this page as necessary to provide information on all persons that are officers/shareholders of the business(es) shown in Section 5.

Owner or lessee of the vessel: ☐ Owner ☐ Lessee

Business name _____ Federal Tax ID Number _____

Position Held - Check ALL That Apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/ Manager ☐ Shareholder ☐ Other

Percent of Corporation Held ____ **Is this business entity a United States citizen or permanent resident alien?** ☐ **YES** ☐ **NO**

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - Jr, Sr, etc.

Tax Identification Number (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt #	City	State	County/Parish	Zip Code	Country

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable)	Apt #	City	State	County/Parish	Zip Code	Country

Position Held - Check ALL That Apply

☐ President/CEO ☐ Vice President ☐ Secretary ☐ Treasurer ☐ Director/ Manager ☐ Shareholder ☐ Other

Percent of Corporation Held ____ **Is this business entity a United States citizen or permanent resident alien?** ☐ **YES** ☐ **NO**

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - Jr, Sr, etc.

Tax Identification Number (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt #	City	State	County/Parish	Zip Code	Country

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable)	Apt #	City	State	County/Parish	Zip Code	Country

Minor Shareholder Information

☐ MINOR SHAREHOLDERS - Check here if one or more shareholders individually holds shares that is less than 1% of the total business shares.

____ TOTAL PERCENTAGE of the business shares held by minor shareholder(s)

SECTION 7 - HISTORICAL CAPTAIN OR DESIGNATED OPERATOR (INCOME QUALIFIER)

This person is a (check all that apply):

☐ Historical Captain for Gulf of Mexico Charter/Headboat for Reef fish

☐ Historical Captain for Gulf of Mexico Charter/Headboat for Coastal Migratory Pelagic Fish

☐ Designated Operator (Income Qualifier other than the Permit Holder) for:

Check all that apply: ☐ Commercial King Mackerel ☐ Commercial Spiny Lobster ☐ Spanish Mackerel

A Historical Captain MUST sign Section 9 as the applicant.

A Designated Operator MUST sign Section 9 as the operator along with the applicant.

Mr/Mrs/Ms	Last Name	First Name	Middle Name	Suffix - Jr, Sr, etc.

Tax Identification Number (SSN)	Date of Birth (MM/DD/YYYY)	Area Code	Phone Number

Mailing Address	Apt #	City	State	County/Parish	Zip Code	Country

☐ **Check box if the street address is the same as the mailing address.**

Street Address (PO Box not acceptable)	Apt #	City	State	County/Parish	Zip Code	Country

SECTION 8 - SEA BASS POTS OR GOLDEN CRAB TRAPS

COMPLETE THIS SECTION ONLY IF YOU HAVE SEA BASS POTS OR IF YOU HAVE GOLDEN CRAB TRAPS. TAGS ARE REQUIRED FOR ALL POTS/TRAPS

Tag cost is $1.80 per tag made payable by check or money order to Floy Tag, Inc.

I need tags for: ☐ Sea Bass Pots ☐ Golden Crap Traps

What color are your Buoys for Sea Bass Pots or Golden Crab Traps? _____

List an existing buoy color code for ANY other trap or pot fishery? _____

South Atlantic Sea Bass Pot/Golden Crab Trap Information - You are allowed a MAXIMUM of 35 Sea Bass Pots

Number of Pots/Traps	Pot or Trap Height (inches)	Pot or Trap Length (inches)	Pot or Trap Width (inches)	Mesh Size Height (inches)	Mesh Size Width (inches)

SECTION 9 - SIGNATURE FOR APPLICATION - REQUIRED

The undersigned certifies under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001, 16 USC 1857). Further, the undersigned certifies that if a spiny lobster tailing permit is requested, the applicant routinely fishes commercially in Federal waters on trips of up to 48 hours or more and that such fishing activity requires the separation of the tail and carapace to maintain quality product.

Please note: If the vessel listed in Section 1 is leased, the applicant who signs below must be an individual named as a lessee in Section 4, or an officer or shareholder of the lessee as listed in Section 5 with information listed in section 6. If the vessel listed in Section 1 is not leased, the applicant must be an individual named as an owner in Section 4, or an officer or shareholder of the owner as listed in Section 6.

Applicant Signature		Position in Business		Date	
Print Name		Designated Operator Signature		Date	

SECTION 10 - INCOME QUALIFICATION AFFIDAVIT FOR INCOME QUALIFIED PERMITS

An Income Qualification Affidavit is accepted as proof of meeting permit income qualification requirements. This signed Income Qualification Affidavit is required with every application to renew or transfer an income qualified permit (as listed below).

Knowingly supplying false information or willfully overvaluing any fishing income for the purpose of obtaining a permit is a violation of Federal law punishable by a fine and/or imprisonment.

Spiny Lobster	*King Mackerel*	*Spanish Mackerel*
50CFR622.400 An applicant must provide the following information: (vi) A sworn statement by the applicant for a vessel permit certifying that at least 10 percent of his or her earned income was derived from commercial fishing, that is, sale of the catch, during the calendar year preceding the application.	50CFR622.370 To obtain or renew a commercial vessel permit for king mackerel, at least 25 percent of the applicant's earned income, or at least $10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.	50CFR622.370 To obtain or renew a commercial vessel permit for Spanish mackerel, at least 25 percent of the applicant's earned income, or at least $10,000, must have been derived from commercial fishing (i.e., harvest and first sale of fish) or from charter fishing during one of the 3 calendar years preceding the application.

The following information applies to my income qualification for the following fisheries:

Check all that apply: ☐ Spiny Lobster ☐ King Mackerel ☐ Spanish Mackerel

I, _____ , hereby declare under penalty of perjury that the foregoing information is true and correct (28 USC 1746; 18 USC 1621; 18 USC 1001; 16 USC 1857). I agree to provide the necessary documentation to prove that I met the earned income requirement when so requested by the National Marine Fisheries Service.

Executed on _____ (date signed).

Printed Name _____ Signature _____

Business Name (if Applicable) _____

Type of business (if Applicable) _____

Position In Business (if Applicable) _____

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER26, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Name and address information will be released via a NOAA website. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

Instructions for the Federal Permit Application for Vessels Fishing in the Exclusive Economic Zone (EEZ)

Rev 8/29/2013

General Instructions:

In addition to the instructions provided herein, applicants with specific questions are encouraged to contact the Permits Office at (727) 824-5326 or toll free at (877) 376-4877 between 8:00 a.m. and 4:30 p.m. ET. Applicants are encouraged to visit the SERO Permits website at *permits.sero.nmfs.noaa.gov*.

Please consult the U.S. Code of Federal Regulations whose guidance for application requirements, permit eligibilities, and related information will always prevail. Incomplete or illegible applications will be returned.

1. Complete all applicable sections of this application form. All application fields should be typed or printed in ink.

2. The application fee is **$25 for one fishery and $10 for each additional fishery** and is **non-refundable**. *NMFS will not refund money for denied permits.* A check or money order payable to the **U.S. TREASURY** must accompany each application.

3. Mail the application, payment, and all required supporting documentation to: **National Marine Fisheries Service (F/SER14), 263 13th Avenue South, St. Petersburg, FL 33701.** If you want your permit and associated documents returned overnight, enclose a completed, pre-paid air bill and envelope. Include your physical street delivery address (non US Post Office services do not deliver to PO Boxes), telephone number, and account number or major credit card number with the expiration date. Please note using the prepaid overnight delivery option does not expedite permit processing; it only expedites delivery of your completed permit package.

4. NMFS will not process requests to renew or transfer permits until applicants meet all reporting requirements (e.g., logbooks, the MRIP For-Hire telephone survey, etc.) **Noncompliance with any reporting requirement will halt processing of all permit transaction requests.** Ensure you comply with all reporting requirements in advance of any permit application requests to avoid delays. Send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9915.** Please direct questions concerning reporting requirements to the Southeast Research Management Division at (305) 361-4581.

Federal regulations require a permit holder to report any permit information change to NMFS, in writing, within 30 days.

APPLICATION SECTION 1 concerns the the vessel permits will be issued to.
Complete **all** portions of Section 1. Enter the Official Number and the length of the vessel as they appear on the U.S. Coast Guard Certificate of Documentation. Use the State Registration certificate for a vessel without U.S. Coast Guard documentation. If applying for a Highly Migratory Species commercial swordfish or shark permit issued without a vessel, write "NO VESSEL" in the field for USCG Official Number. For inspected charter/headboats, list the greatest passenger capacity listed on the USCG Certificate of Inspection. *A vessel's passenger capacity does not include the captain and crew.*

APPLICATION SECTIONS 2 AND 3 are the permits being requested.
Indicate the fishery and transaction type for each permit requested in this application

APPLICATION SECTION 4 applies to *people* who directly own or lease the vessel.
Fill out Section 4a only if the owner(s) listed on the vessel's U.S. Coast Guard Certificate of documentation or State Registration is a person or persons, not a business. Provide information for all owners listed on the vessel's U.S. Coast Guard Certificate of Documentation or State Registration. Photocopy the blank page as necessary to provide information about all people who own the vessel.

Fill out Section 4b only if the vessel is leased to a person or persons, not a business. Photocopy the blank page as necessary to provide information about all people who lease the vessel.

APPLICATION SECTION 5 applies to *businesses* that directly own or lease the vessel.
Fill out Section 5a only if the owner listed on the U.S. Coast Guard Certificate of documentation or the State Registration is a business, not a person. Provide information for all owners listed on the vessel's USCG Documentation or State Registration. Photocopy the blank page as necessary to provide information about all vessel owners.

Fill out Section 5b only if the vessel is leased to a business, not a person. Photocopy the blank page as necessary to provide information about all people who lease the vessel.

NMFS will not issue the permits if the business is in an INACTIVE status with the applicable Secretary of State.

APPLICATION SECTION 6 applies to *the officers or shareholders* of businesses that own or lease the vessel.
NMFS requires information on all owner's officers/ shareholders if the application is for a vessel owned or leased by a business entity. Please photocopy the blank form as necessary to provide information on all officers/shareholders associated with vessel owners and lessees.

If the business holds IFQ shares, indicate what percentage of the business each person listed owns. The total percentages reported must equal 100%.

APPLICATION SECTION 7 Designated Operators for income qualified permits and Gulf Charter Historical Captain endorsements.
This section will remain blank for most applications. Complete this section ***only*** for applications that include:

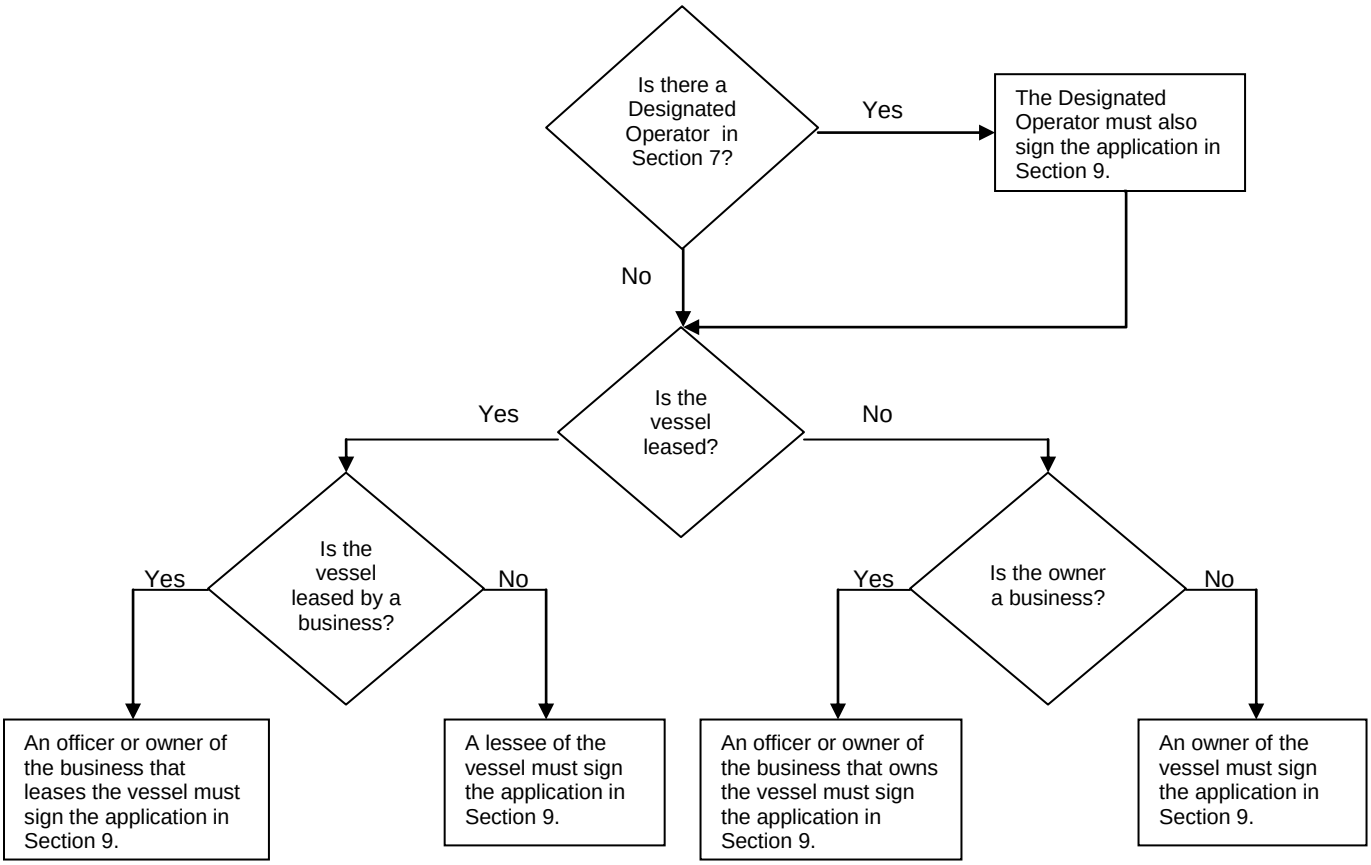
- Gulf of Mexico Charter/Headboat permits *with a Historical Captain endorsement*, or
- King Mackerel, Spanish Mackerel, and/or Commercial Spiny Lobster permit that has been income qualified using the fishing income of a Designated Operator. A Designated Operator is a vessel operator who is neither a vessel owner nor lessee listed in Section 4 or 5 or an officer of a business that owns or leases the vessel, listed in Section 6.

APPLICATION SECTION 8 applies to Sea Bass pots and tags and Golden Crab traps and tags.
Complete this section only if you fish with pots in the snapper-grouper fishery or traps in the golden crab fishery off the southern Atlantic states. The applicant must provide a separate check or money order for pot or trap tags ($1.80 per tag) payable to FLOY TAG INC. **The Sea Bass pot fishery requires tags be ordered through NMFS.** Trap Tags for the golden crab fishery do not need to be ordered through NMFS. Floy Tag Inc. will ship all Floy Tag orders to you directly; NMFS will not send tags with the permit package.

APPLICATION SECTION 9 is the application signature

The signatory in Section 9 should be:

1. An owner of the vessel if the vessel is not owned by a business and is not leased *OR*
2. An officer or representative of the business that owns the vessel, if the vessel is not leased *OR*
3. An individual lessee of the vessel, if the vessel is leased by one or more individuals *OR*
4. An officer or representative of the business leasing the vessel, if the vessel is leased by a business *AND*
5. The Designated Operator in Section 7, if applicable. (This is not common.)



APPLICATION SECTION 10 is the Income Qualification Affidavit
NMFS accepts an Income Qualification Affidavit as proof of meeting permit income qualification requirements. Every application to renew or transfer a Spiny Lobster, King Mackerel, or Spanish Mackerel permit requires a signed Income Qualification Affidavit.

The affidavit is a signed promise, under penalty of perjury, that the applicant meets federal income qualification requirements for income-qualified permit(s). The applicant also promises to provide such income documentation if NMFS requests; and is not required to provide tax or income documents unless specifically requested.

RENEWAL AND INITIAL (NEW) ISSUANCE OF PERMITS

- All permit renewal and first issuance requests must include, as a minimum: 1) an application, 2) the appropriate fees, and 3) a copy of the unexpired U.S. Coast Guard Certificate of Documentation or State Registration Certificate. Please note - we cannot accept a bill of sale as documentation or registration.

- Include a copy of the current lease agreement if the vessel is leased, rented, or leased-to-own. The lease must identify both the entities leasing the vessel and the vessel owners as listed on the vessel's USCG Certificate of Documentation or, if not documented, the State Registration. The lease agreement must include a lease start date, and lease expiration date. The lease must run for a minimum of 7 months, and may extend for many years if the lessee and lessor anticipate a long-term arrangement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.

- To ensure your renewal goes quickly and smoothly, send your logbook report(s) to **National Marine Fisheries Service, Research Management Division, Logbook Program, P.O. Box 491500, Key Biscayne, FL 33149-9916**. Direct your questions concerning reporting requirements to the Southeast Research Management Division at (305) 361-4581. *We cannot renew your permit(s) until you meet all reporting requirements.*

- For Gulf of Mexico Charter/Headboat renewal requests, a copy of the valid Certificate of Inspection (COI) may be required if the COI on file has expired.

- For Shark and Swordfish Directed and Incidental permit renewals, please include a copy of a valid Protected Species Safe Handling, Release, and Identification Workshop Owner's Certificate issued to the vessel owner.

TRANSFERS

- Various restrictions apply to transfer of limited entry and moratorium permits and endorsements. Consult the applicable United States Code of Federal Regulations, which is available online at sero.nmfs.noaa.gov/sustainable_fisheries/policy_branch/

- Only the Limited Access/Moratorium permits and endorsements listed in Section 3 are transferable.

- All permit transfer requests must include, as a minimum: 1) an application, 2) the original permits with appropriate signatures on the back, 3) the appropriate fees, and 4) a copy of the receiving vessel's unexpired U.S. Coast Guard Certificate of Documentation or State Registration unless the permit office already has a copy of the vessel's valid documentation or registration on file. Please note - we cannot accept a bill of sale as documentation or registration.

- SIGNATURES ON PERMITS**:** provide the **valid** (not expired) **ORIGINAL** (not a copy) transferable permit(s) with notarized signatures on the reverse of the permit.
    - Persons listed as permit holders on the face of the permit must sign as sellers the back of the permit. If the permit holder is a business, an officer or shareholder of the business must sign as seller on the back of the permit. The correct format must include the signature, the person's position in the business and the company name. For example: John Doe, President, XYZ Fishing Inc.
    - A notary public must notarize the sellers' signature(s).
    - **Print** the name of the new permit holder, individual(s) or business, as the receiving vessel permit owner.
    - No signatures are required if transferring permits to a different vessel owned by the same entity (person or business), unless the permit is a Gulf of Mexico Charter/Headboat Permit (please read the back of this type of permit).

- A vessel owner with a moratorium Gulf of Mexico Coastal Migratory Pelagic Charter/Headboat and/or a Reef Fish Charter/Headboat permit(s) that transfers the permit(s) to another vessel owner or to another vessel, must remove the Federal Charter/Headboat decal from their vessel.

- Income qualification requirements apply to federal Spiny Lobster, King Mackerel, and Spanish Mackerel permits. See the applicable US Code of Federal Regulations online at http://sero.nmfs.noaa.gov/sustainable_fisheries/policy_branch/

- Include a copy of the current lease agreement if the vessel is leased, rented, or leased-to-own. The lease must identify both the entities leasing the vessel and the vessel owners as listed on the vessel's USCG Certificate of Documentation or, if not documented, the State Registration. The lease agreement must include a lease start date, and lease expiration date. The lease must run for a minimum of 7 months, and may extend for many years if the lessee and lessor anticipate a long-term arrangement. Both the vessel owner(s) and the lessee(s) must sign and date the lease agreement.

- For Shark and Swordfish Directed and Incidental permits, please include a copy of a valid Protected Species Safe Handling, Release, and Identification Workshop Operator's Certificate issued to the vessel owner for initial issuance. For all subsequent renewals, please include a copy of a valid Protected Species Safe Handling, Release, and Identification Workshop Owner's Certificate.

- With the exception of Sea Bass Pot endorsements and Golden Tilefish endorsements, NMFS cannot transfer expired permits. An applicant may transfer a permit only when the seller(s) signature is notarized BEFORE the expiration date. Once signed, the buyer must submit an application to transfer the permit before the permit terminates, one year following the expiration date of the permit (or 6 months following the expiration date of a Golden Crab permit). An applicant may transfer a Sea Bass Pot endorsements and Golden Tilefish endorsements only when the seller(s) signature is notarized and submits an application BEFORE the termination date of the endorsements, which is one year after the expiration date of the endorsements.

FEES

Fees for permit or endorsement renewal, initial issuance, and transfers are $25 for the first permit or endorsement and $10 for each additional permit or endorsement. The flat rate fee for replacement of one or more permits issued to a single vessel is $18.

1 = $25 2 = $35 3 = $45 4 = $55 5 = $65 6 = $75 7 = $85 8 = $95 9 = $105 10= $115 11= $125 12= $125

EARNED INCOME OR GROSS SALES REQUIREMENTS FOR COMMERCIAL PERMITS

New owners of Limited Access/Moratorium permits must meet the earned income requirement not later than the first full calendar year after the issuance of the permit.

	KING & SPANISH MACKEREL	**SPINY LOBSTER**
Percentage of earned income	At least 25%	At least 10%
Gross sales alternative	More than $10,000 in sales of fish	N/A
Source of earned income	Commercial, Charter, or Headboat	Sale of catch
Time frame for qualification	One of three years prior to application	Year prior to application

KNOWINGLY SUPPLYING FALSE INFORMATION OR WILLFULLY OVERVALUING ANY FISHING INCOME TO OBTAIN A PERMIT IS A VIOLATION OF FEDERAL LAW PUNISHABLE BY A FINE AND/OR IMPRISONMENT.

Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to: PRA Officer, National Marine Fisheries Service, F/SER26, 263 13th Avenue South, St. Petersburg, FL 33701.

The National Marine Fisheries Service requires this information for the conservation and management of marine fishery resources. The data reported will be used to develop, implement, and monitor fishery management activities for a variety of other uses. Responses to this collection are required to obtain or retain a fisheries permit under the Magnuson - Stevens Act. Non-confidential information may be released via a NOAA Fisheries website. Non-confidential information means: Name, Street Address, City, State, Zip Code, Effective Date of Permit, Permit Types, Vessel Name, Vessel Identification Number, and in the case of a "for hire" vessel the Passenger Capacity, or individual, corporate and lease holders of permits. All other data submitted will be handled as confidential material in accordance with NOAA Administrative Order 216-100, Protection of Confidential Fishery Statistics. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.